# **Operations Specifications**

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Part A-1

Print Date: 10/30/2023

### **Operations Specifications**

A001 . Issuance and Applicability

HQ Control: 05/06/2022

Certificate No.: R4WR962J

**HQ** Revision:

05f

a. These operations specifications are issued to Rockwell Collins, Inc. Air Transport Systems, a Repair Station located in the United States, pursuant to 14 CFR Part 145, § 145.53(a). The repair station certificate holder shall conduct operations in accordance with 14 CFR Part 145 and these operations specifications.

The certificate holder's address:

Fixed Location: 400 Collins Road NE Cedar Rapids, Iowa 52498-0001 Mailing Address: 400 Collins Road NE M/S 153-100

Cedar Rapids, Iowa 52498-0001

- b. The holder of these operations specifications is the holder of certificate number R4WR962J and shall hereafter be referred to as the "certificate holder".
- c. These operations specifications are issued as part of this repair station certificate and are in effect as of the date approval is effective. This certificate and these operations specifications shall remain in effect until the certificate for a repair station that is located in the United States is surrendered, suspended, or revoked.
- d. The repair station specified on these operations specifications performs maintenance and/or an alteration of aircraft and/or aeronautical products under a Bilateral Aviation Safety Agreement (BASA).
- e. The certificate holder is authorized to use only the business name which appears on the certificate to conduct the operations described in subparagraph a.

### Operations Specifications

1. The Certificate Holder applies for the Operations in this paragraph.

2. These Operations Specifications are approved by direction of the Administrator.



Digitally signed by Tony L Will, Principal Avionics Inspector (CE01) [1] SUPPORT INFO: Accountable manager change [2] EFFECTIVE DATE: 6/6/2023, [3] AMENDMENT #: 9 DATE: 2023.06.06 16:08:48 -05:00

3. I hereby accept and receive the Operations Specifications in this paragraph.

John G. Oellrich, Chief Inspector

U.S. Department of Transportation Federal Aviation

Operations Specifications

A002. Definitions and Abbreviations

HQ Control: 12/14/2017

05d

**HQ** Revision:

Unless otherwise defined in these operations specifications, all words, phrases, definitions, and abbreviations have identical meanings to those used in 14 CFR and 49 U.S.C., as cited in Public Law 103-272, as amended. Additionally, the definitions listed below are applicable to operations conducted in accordance with these operations specifications.

BASA The Bilateral Aviation Safety Agreement (BASA) is an executive

agreement concluded between the United States and a foreign country

for the purpose of promoting aviation safety; also known as an

Agreement for the Promotion of Aviation Safety.

Certificate Holder In these operations specifications, the term "certificate holder" means

the holder of the repair station certificate described in these operations specifications in Part A paragraph A001 and any of its officers, employees, or agents used in the conduct of operations under this

certificate.

CAAS Civil Aviation Authority of Singapore

CFR Code of Federal Regulations

Class Rating As used with respect to the certification, ratings, privileges of

airframes, powerplants, propellers, radios, instruments, and

accessories within a category having similar operating characteristics.

EASA European Aviation Safety Agency

**EASA** Accountable

Manager

The manager who has corporate authority for ensuring that all maintenance required by the customer can be financed and carried out

to the standard required by the EASA full-member Authority.

EU European Union

Exemption An authorization that permits an alternate means of compliance with a

specific CFR. The exemption must meet the procedural requirements

of 14 CFR Part 11.

FOCA Federal Office of Civil Aviation

FAA Accountable

Manager

A person designated by the certificated repair station who is

responsible for and has authority over all repair station operations that are conducted under 14 CFR Part 145, including ensuring that the repair station's personnel follow the regulations and serving as the

Certificate No.: R4WR962J

primary contact with the FAA.

#### **Operations Specifications**

#### Geographic Authorization

Authorization provided to a repair station located outside the United States to perform maintenance support under contract for a U.S. air carrier (or an operator of U.S.- registered aircraft under 14 CFR Part 129) at a location other than the repair station's main facility. A geographic authorization is issued by the FAA to respond to a U.S. air carrier's or Part 129 foreign operator's need for maintenance at a station where the frequency and scope of that maintenance does not warrant permanently staffing and equipping the station for its accomplishment.

#### **Limited Rating**

A rating issued to repair stations for the performance of maintenance on particular makes and models of airframes, powerplants, propellers, radios, instruments, accessories, and/or parts.

### Limited Ratings -Specialized Services

Rating issued for a special maintenance function when the function is performed in accordance with a specification approved by the Administrator.

#### Line Maintenance

Any unscheduled maintenance resulting from unforeseen events, or scheduled checks where certain servicing and/or inspections do not require specialized training, equipment, or facilities.

#### MAG

The Maintenance Annex Guidance (MAG) defines the process that the FAA and EASA undertake in the inspection, findings of compliance certification, and monitoring of repair stations, as well as their joint cooperation in quality assurance and standardization activities in support of the EASA Agreement, Annex 2, Maintenance. The term Maintenance Agreement Guidance (MAG) defines the processes and activities applicable to a specific country under an MIP, and is not associated with the EASA Agreement.

### Maintenance

The inspection, overhaul, repair, preservation, and replacement of parts, but excludes preventive maintenance.

### U.S./EU Aviation Safety Agreement, Annex 2, Maintenance

Annex 2 covers the reciprocal acceptance of findings of compliance, approvals, documentation and technical assistance regarding approvals and the monitoring of repair stations/maintenance organizations.

#### MIP

Maintenance Implementation Procedures (MIP) are procedures for implementing the provisions of a BASA that apply to maintenance performed under 14 CFR Part 145, Section 145.53(b).

### MOE

A maintenance organization exposition (MOE) pertains to procedural manuals used by maintenance organizations certificated by a foreign country. The MOE along with the FAA Supplement, sets forth the structure and procedures of the repair station to meet the requirements of 14 CFR Part 145 under a MIP.

**Operations Specifications** 

Preventive

As defined in 14 CFR part 1 and part 43 appendix A,

Maintenance

subparagraph (c).

**QCM** 

Quality Control Manual

Repair Station located in the United States

A FAA certificated repair station located in the United States.

Repair Station located

outside the United

A FAA certificated repair station located outside of the United States.

States

**RSM** 

Repair Station Manual

1. The Certificate Holder applies for the Operations in this paragraph.

2. These Operations Specifications are approved by direction of the Administrator.



Digitally signed by Tony L Will, Principal Avionics Inspector (CE01) [1] EFFECTIVE DATE: 3/27/2018, [2] AMENDMENT #: 7 DATE: 2018.03.27 14:24:15 -05:00

eceive the Operations Specifications in this paragraph.

Gellrich, John Gl/Chief Inspector

april 5, 2018

Rockwell Collins, Inc. Air Transport Systems

A002-3 Amdt. No: 7

#### **Operations Specifications**

### A003 . Ratings and Limitations

HQ Control: 04/03/2017

HQ Revision:

01a

The certificate holder is authorized the following Ratings and/or Limitations:

#### Class Ratings

Radio Class 1: Communications Equipment

Radio Class 2: Navigational Equipment

Radio Class 3: Radar Equipment

Instrument Class 1: Mechanical

Instrument Class 2: Electrical

Instrument Class 3: Gyroscopic

Instrument Class 4: Electronic

Accessory Class 1: Mechanical Accessories Accessory Class 2: Electrical Accessories Accessory Class 3: Electronic Accessories

### **Limited Ratings**

Rating

Manufacturer

Make/Model

Limitations

None authorized

None Authorized

### Limited Ratings - Specialized Services

Rating

**Specifications** 

Limitations

Rockwell Collins, Inc. Air Transport Systems

A003-1 Amdt. No: 4

### **Operations Specifications**

1. Issued by the Federal Aviation Administration.

2. These Operations Specifications are approved by direction of the Administrator.



Digitally signed by Tony L Will, Principal Avionics Inspector (CE01) [1] EFFECTIVE DATE: 4/20/2017, [2] AMENDMENT #: 4 DATE: 2017.04.20 09:40:14 -05:00

3. I hereby accept and receive the Operations Specifications in this paragraph.

28 Are 7017

Reihle, Jeffrey G., Senior Quality Engineer, ATS Quality Assurance Date

#### **Operations Specifications**

**Summary of Special Authorizations and Limitations** A004.

**HO Control:** 

9/23/1998 010

HO Revision:

The certificate holder, in accordance with the reference paragraphs, is authorized to:

Reference **Paragraphs** 

Use an approved electronic recordkeeping system, electronic/digital signature, and/or electronic media.

A025

Perform work, including continuous operations, at additional locations other than at its

A101

Conduct operations choosing to have an antidrug and alcohol misuse prevention

program.

A449

b. The certificate holder is not authorized and shall not:

Use Exemptions.

primary fixed location.

A005

Perform maintenance with NAA ratings where the scope of work is authorized by a BASA/MIP.

A060

Perform maintenance in accordance with foreign repair station geographic

B050

authorizations.

Perform work, excluding continuous operations, at additional locations other than at its primary Fixed Location.

D100

Perform line maintenance for cert. holders conducting operations under Parts 121 and 135 and for foreign carriers/persons operating U.S. registered aircraft in common carriage under Part 129, apart from D100 which authorizes that work away from station.

D107

- Issued by the Federal Aviation Administration.
- Support information reference:
- These Operations Specifications are approved by direction of the Administrator.

Daley, Dennis As

**Principal Avionics Inspector** 

CE01

4. Date Approval is effective: 12/10/07

Amendment Number: 5

5. I hereby accept and receive the Operations Specifications in this paragraph.

d. Mei o

O'Rourke, L. Kevin

Chief Inspector

Date: 12/10/07

Print Date: 12/10/2007

A004-1

Certificate No.: R4WR962J

Rockwell Collins, Inc. Air Transport Systems

### **Operations Specifications**

### **A007** . Designated Persons

HQ Control: 09/08/2021

**HQ** Revision:

03a

a. The personnel listed in the following table are designated to officially apply for and receive operations specifications for the certificate holder indicated below.

Table 1 - Designated Persons to Apply for and Receive Authorizations

Title	Name	Parts Authorized	Email Address
EASA Accountable Manager, 145	Sullivan, Joseph	A	joseph.sullivan@collins.com
Chief Inspector	Oellrich, John G.	A	john.oellrich@collins.com
FAA Accountable Manager, 145	Sullivan, Joseph	A	joseph.sullivan@collins.com

b. The following personnel listed in Table 2 are designated by the certificate holder to receive Information for Operators (InFO) messages for the certificate holder as indicated below. A receipt for the information by an operator or person is not required.

Table 2 - Designated to Receive InFO Messages

Name	Email Address	Telephone No.	Type of Information to Receive
John G. Oellrich	john.oellrich@collins.com	319-295-1446	ALL
Joseph Sullivan	joseph.sullivan@collins.com	704-430-0015	ALL

1. The Certificate Holder applies for the Operations in this paragraph.

2. These Operations Specifications are approved by direction of the Administrator.



Digitally signed by Tony L Will, Principal Avionics Inspector (CE01) [1] EFFECTIVE DATE: 10/30/2023, [2] AMENDMENT #: 23

DATE: 2023.10.30 10:44:36 -05:00

3. I hereby accept and receive the Operations Specifications in this paragraph.

John G. Oellrich Chief Inspector

Date

<u> Vecember</u> 6, 2033

### **Operations Specifications**

A025 . Electronic/Digital Recordkeeping System.
Electronic/Digital Signature, and Electronic Media

HQ Control: 04/03/2017 HQ Revision: 00b

a. The certificate holder is authorized to use an acceptable electronic/digital recordkeeping system, described and/or referenced in this paragraph (if none, enter N/A.)

Systems, Application, Products (SAP), Factory Floor Intranet Transaction (FIT), and Employee Training Records.

b. The certificate holder is authorized the use of the following electronic/digital signature procedures (if none, enter "N/A").

Electronic signatures within Systems, Application, Products (SAP) and Factory Floor Intranet Transaction (FIT).

c. The certificate holder is authorized to use electronic media for the repair station and quality control manuals, if acceptable (if none, enter "N/A").

Internal Rockwell Collins Website (RWEB), Customer Returned Goods Manual (CRG), and EASA Supplement.

1. Issued by the Federal Aviation Administration.

2. These Operations Specifications are approved by direction of the Administrator.



Digitally signed by Tony L Will, Principal Avionics Inspector (CE01) [1] EFFECTIVE DATE: 4/20/2017, [2] AMENDMENT #: 3 DATE: 2017.04.20 09:40:18 -05:00

3. I hereby accept and receive the Operations Specifications in this paragraph.

94,400

28 Apr 2017

Reihle, Jeffrey G., Senior Quality Engineer, ATS Quality Assurance

Date

### Operations Specifications

### A101 . Additional Fixed Locations

HQ Control: 12/11/2020 HO Revision: 01b

Certificate No.: R4WR962J

a. The certificate holder is authorized to perform work at the following additional fixed location(s) listed in Table 1 below, provided it has the facilities, materials, equipment, and technical personnel to perform the work authorized.

Table 1

Additional Location Address(es)				
Address	City	State	Country	Postal Code
1500 State Street	Bellevue	Iowa	UNITED STATES	52301-9177
2464 River Road	Decorah	Iowa	UNITED STATES	52101
901 South 10th Street	Manchester	Iowa	UNITED STATES	52057

- b. This authorizes the certificate holder to use multiple locations to perform its operations under a single certificate.
- c. With the exception of the provisions of subparagraph g below, this authorization does not constitute work performed at additional location(s) outside the domiciled country.
- d. The certificate holder may perform continuous operations at the facilities listed in Table 1 above.
- e. All the authorizations and exemptions authorized for the certificate holder apply at these locations, as applicable.
- f. Repair stations located outside the United States covered by the U.S./European Union (EU) Safety Agreement must be under the surveillance of an aviation authority (AA), hold a European Aviation Safety Agency (EASA) line station approval, and must be located within the EU Member States.
- g. Repair stations with their principal place of business located within the United Kingdom of Great Britain and Northern Ireland (UK) operating under the Maintenance Implementation Procedures (MIP) are authorized additional fixed locations outside of the UK. The repair station must hold a UK Civil Aviation Authority (CAA) approval, be under direct surveillance by the UK CAA for that location, and must not be located within the United States.

# **Operations Specifications**

- 1. Issued by the Federal Aviation Administration.
- 2. These Operations Specifications are approved by direction of the Administrator.



Digitally signed by Tony L Will, Principal Avionics Inspector (CE01) [1] EFFECTIVE DATE: 9/28/2021, [2] AMENDMENT #: 6 DATE: 2021.09.28 14:24:49 -05:00

3. I hereby accept and receive the Operations Specifications in this paragraph.

John G. Oellrich, Chief Inspector

Date

### Operations Specifications

### A449. Drug and Alcohol Testing Program

HQ Control: 08/30/2021 HQ Revision: 00b

Certificate No.: R4WR962J

- a. The 14 CFR Part 145 repair station certificate holder has implemented a drug and alcohol testing program because the certificate holder performs safety-sensitive functions for a 14 CFR Part 121, 121/135, and/or 135 certificate holder, and/or for a 14 CFR Part 91 operator conducting operations under § 91.147.
- b. The certificate holder certifies that it will comply with the requirements of 14 CFR Part 120 and 49 CFR Part 40 for its drug and alcohol testing program.
- c. Drug and alcohol testing program records are maintained and available for inspection by the FAA's Drug Abatement Compliance and Enforcement Inspectors at the location listed in Table 1 below:

Table 1

Location & Telephone of Drug and Alcohol Testing Program Records		
Telephone Number:	A2 (CONN885A)	
Address:		
Address:		
City:		
State:		
Zip code:		

### d. Limitations and Provisions.

- (1) The FAA's Drug Abatement Division is responsible for oversight and enforcement of the DOT/FAA-mandated drug and alcohol testing program. Questions regarding the program requirements or regulations must be directed to the Drug Abatement Division at 202-267-8442 or drugabatement@faa.gov.
- (2) The certificate holder is responsible for updating this operations specification when any of the following changes occur:
- (a) Phone number and address where the drug and alcohol testing program records are kept.
- (b) If the certificate holder's number of safety-sensitive employees goes to 50 and above, or falls below 50 safety-sensitive employees.
- (3) The certificate holder with 50 or more employees performing a safety-sensitive function on January 1 of the calendar year must submit an annual report to the Drug Abatement Division of the FAA.
  - (4) The certificate holder with fewer than 50 employees performing a safety-sensitive function

# Operations Specifications

on January 1 of any calendar year must submit an annual report upon request of the Administrator.

The certificate holder has 50 or more safety-sensitive employees. e.

- 1. Issued by the Federal Aviation Administration.
- 2. These Operations Specifications are approved by direction of the Administrator.



Digitally signed by Tony L Will, Principal Avionics Inspector (CE01) [1] EFFECTIVE DATE: 9/28/2021, [2] AMENDMENT #: 5 DATE: 2021.09.28 14:24:54 -05:00

3. Ithereby accept and receive the Operations Specifications in this paragraph.

John G. Oellrich Chief Inspector